

# Instant Offer - 3x Equity

Candidate #: 10318      Reported T12: \$ 1,325,000  
Current Firm:      Reported AUM: \$ 140,000,000  
State: NY

## Upfront

**\$1,987,500**

- Paid as a 9 year promissory note

## Deferred Compensation Program

**\$331,250**

- The award is credited in the first month of the calendar quarter follow start date
- Once the award is credited, advisors can access their account utilized any of the available investments
- Awards vest approximately 9 years after the award was credited
- Assuming an growth of 6.5% annually, balance would grow to **\$583,851** by year 9

## Transitional Cash Payments

	Year 1	Year 2	Year 3	Year 4
<b>Assumed Production</b>	\$927,500	\$1,325,000	\$1,457,500	\$1,656,250
<b>Estimated Transitional Cash Payment</b>	<b>\$200,340</b>	<b>\$286,200</b>	<b>\$314,820</b>	<b>\$357,750</b>

- Rate is applied to actual trailing 12 month GDC (uncapped bonus potential)
- The payment will be grossed up by 8% to account for interest
- Awards are paid in equal monthly installments over 8 years

## Total Baseline Transition Compensation

**\$3,477,860**

262% of your current T12

## Additional Benefits You May Be Eligible For

- Annual Deferred Stock Bonus
- Locked Payout Rate for a certain period before joining payout rate grid
- Dedicated Staff Support & Local Marketing Budget
- Additional bonus opportunities such as a Financial Planning Bonus and Insurance Bonuses

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